#### BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Petition to Revoke Probation Against:	) ) )
Sami Chafic Srour, M.D.	) Case No. 800-2017-031371
Physician's and Surgeon's	· ·
Certificate No. G 24567	)
Respondent	) 

#### **DECISION**

The attached Stipulated Surrender of License and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on March 12, 2018.

IT IS SO ORDERED March 5, 2018.

MEDICAL BOARD OF CALIFORNIA

Kimberly Kirchmeye

**Executive Director** 

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1	XAVIER BECERRA	ş		
2	Attorney General of California ROBERT MCKIM BELL	·		
3	Supervising Deputy Attorney General CHRIS LEONG	•		
4	Deputy Attorney General State Bar No. 141079			
5	California Department of Justice 300 So. Spring Street, Suite 1702			
6	Los Angeles, CA 90013 Telephone: (213) 269-6460			
7	Facsimile: (213) 897-9395 E-mail: chris.leong@doj.ca.gov			
8	Attorneys for Complainant BEFOR	E THE		
9	MEDICAL BOARD DEPARTMENT OF CO	OF CALIFORNIA		
10	STATE OF C.			
11	In the Matter of the Petition to Revoke Probation Against:	Case No. 800-2017-031371		
12	SAMI CHAFIC SROUR, M.D.	OAH No. 2017070044		
13	4121 Country Club Drive Bakersfield, CA 93306	STIPULATED SURRENDER OF LICENSE AND DISCIPLINARY ORDER		
14 15	Physician's and Surgeon's Certificate No. G 24567,			
16	Respondent,	·.		
17				
18	IT IS HEREBY STIPULATED AND AGR	EED by and between the parties to the above-		
19	entitled proceedings that the following matters are	e true:		
20	<u>PARTIES</u>			
21	1. Kimberly Kirchmeyer (Complainant) is the Executive Director of the Medical Board			
22	of California (Board). She brought this action solely in her official capacity and is represented in			
23	this matter by Xavier Becerra, Attorney General of	of the State of California, by Chris Leong,		
24	Deputy Attorney General.			
25	2. SAMI CHAFIC SROUR, M.D. (Resp	ondent) is represented in this proceeding by		
26	attorney Dennis R. Thelen, Esq., whose address is 5001 E. Commercenter Drive, Suite 300,			
27	Bakersfield, CA 93309.			
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#### **CULPABILITY**

- 8. Respondent understands that the charges and allegations in Petition to Revoke
  Probation No. 800-2017-031371, if proven at a hearing, constitute cause for imposing discipline
  upon his Physician's and Surgeon's Certificate.
- 9. For the purpose of resolving the Petition to Revoke Probation without the expense and uncertainty of further proceedings, Respondent agrees that, at a hearing, Complainant could establish a factual basis for the charges in the Petition to Revoke Probation and that those charges constitute cause for discipline. Respondent hereby gives up his right to contest that cause for discipline exists based on those charges.
- 10. Respondent understands that by signing this stipulation he enables the Board to issue an order accepting the surrender of his Physician's and Surgeon's Certificate without further process.
- 11. Respondent agrees that if he ever petitions for reinstatement, all of the charges and allegations contained in Accusation No. 800-2017-031371, shall be deemed true, correct and fully admitted by Respondent for purposes of that proceeding or any other licensing proceeding involving Respondent in the State of California.

#### CONTINGENCY

12. This stipulation shall be subject to approval by the Board. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and surrender, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Surrender and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

- 13. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Surrender of License and Order, including Portable Document Format (PDF) and facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Order:

#### **ORDER**

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. G 24567, issued to Respondent SAMI CHAFIC SROUR, M.D., is surrendered and accepted by the Medical Board of California.

- 1. The surrender of Respondent's Physician's and Surgeon's Certificate and the acceptance of the surrendered license by the Board shall constitute the imposition of discipline against Respondent. This stipulation constitutes a record of the discipline and shall become a part of Respondent's license history with the Medical Board of California.
- 2. Respondent shall lose all rights and privileges as a physician and surgeon in California as of the effective date of the Board's Decision and Order.
- 3. Respondent shall cause to be delivered to the Board his pocket license and, if one was issued, his wall certificate on or before the effective date of the Decision and Order.
- 4. If Respondent ever files an application for licensure or a petition for reinstatement in the State of California, the Board shall treat it as a petition for reinstatement. Respondent must comply with all the laws, regulations and procedures for reinstatement of a revoked license in effect at the time the petition is filed, and all of the charges and allegations contained in Petition to Revoke Probation No. 800-2017-031371 shall be deemed to be true, correct and admitted by Respondent when the Board determines whether to grant or deny the petition.
- 5. If Respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing agency in the State of California, all of the charges and allegations contained in Petition to Revoke Probation, No. 800-2017-031371 shall be deemed to be true, correct, and admitted by Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or restrict licensure.

#### **ACCEPTANCE**

I have carefully read the above Stipulated Surrender of License and Order and have fully discussed it with my attorney, Dennis R. Thelen, Esq. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Surrender of License and Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California.

DATED: 2/9/18

SAMI CHAFIC SROUR, M.D.

Respondent

I have read and fully discussed with Respondent SAMI CHAFIC SROUR, M.D. the terms and conditions and other matters contained in this Stipulated Surrender of License and Order. I approve its form and content.

DATED: 2/9/18

DENNIS R. THELEN, ESQ. Attorney for Respondent

#### **ENDORSEMENT**

The foregoing Stipulated Surrender of License and Order is hereby respectfully submitted for consideration by the Medical Board of California of the Department of Consumer Affairs.

Dated: 2/12/18

Respectfully submitted,

XAVIER BECERRA
Attorney General of California
ROBERT MCKIM BELL
Supervising Deputy Attorney General

CHRIS LEONG
Deputy Attorney General
Attorneys for Complainant

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#### Exhibit A

Petition to Revoke Probation No. 800-2017-031371

#### STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA SACRAMENTO May 23 BY Robun Fitzlocter

1 XAVIER BECERRA Attorney General of California 2 ROBERT MCKIM BELL Supervising Deputy Attorney General CHRIS LEONG Deputy Attorney General 4 State Bar No. 141079 California Department of Justice 5 300 South Spring Street, Suite 1702 Los Angeles, California 90013 6 Telephone: (213) 897-2575 Facsimile: (213) 897-9395 7 E-mail: Chris.Leong@doj.ca.gov Attorneys for Complainant 8 BEFORE THE MEDICAL BOARD OF CALIFORNIA 9 DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA 10 11 In the Matter of the Petition to Revoke Probation Case No. 800-2017-031371 Against, 12 SAMI CHAFIC SROUR, M.D. 13 4121 Country Club Drive PETITION TO REVOKE PROBATION Bakersfield, CA 93306 14 15 Physician's and Surgeon's Certificate No. G 24567, 16 Respondent. 17 18 19 Complainant alleges: **PARTIES** 20 1. 21 Kimberly Kirchmeyer (Complainant) brings this Petition to Revoke Probation solely in her official capacity as the Executive Director of the Medical Board of California 22 (Board). 23 24 2. On or about June 14, 1973, the Board issued Physician's and Surgeon's Certificate Number G 24567 to Sami Chafic Srour, M.D. (Respondent). The Physician's and Surgeon's 25 Certificate was in effect at all times relevant to the charges brought herein and will expire on July 26 27 31, 2017, unless renewed. 28 ///

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3. In a disciplinary action entitled "In the Matter of Accusation Against Sami Chafic Srour, M.D.," Case No. 08-2013-231201, the Medical Board of California, issued a decision on December 2, 2016, which became effective December 30, 2016, in which Respondent's Physician's and Surgeon's Certificate was revoked. However, the revocation was stayed and Respondent's Physician's and Surgeon's Certificate was placed on probation for a period of four (4) years with certain terms and conditions. A copy of that decision is attached as Exhibit A and is incorporated by reference. On or about April 5, 2017, a Cease Practice Order was issued to Respondent based on violations of probationary conditions numbers 3 and 4.

#### JURISDICTION

- 4. This Petition to Revoke Probation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
- 5. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.

#### FIRST CAUSE TO REVOKE PROBATION

(Medical Record Keeping Course)

6. At all times after the effective date of Respondent's probation, Condition 2 stated:

"Within 60 calendar days of the effective date of this Decision, Respondent shall
enroll in a course in medical record keeping equivalent to the Medical Record Keeping
Course offered by the Physician Assessment and Clinical Education Program, University
of California, San Diego School of Medicine (Program), approved in advance by the
Board or its designee. Respondent shall provide the program with any information and
documents that the Program may deem pertinent. Respondent shall participate in and
successfully complete the classroom component of the course not later than six (6) months
after Respondent's initial enrollment. Respondent shall successfully complete any other

component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision. Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later."

7. Respondent's probation is subject to revocation because he failed to comply with Probation Condition 2, referenced above. The facts and circumstances regarding this violation are as follows: By on or about March 3, 2017, enrollment was to have taken place. As of April 5, 2017, Respondent has not enrolled in this course.

#### SECOND CAUSE TO REVOKE PROBATION

(Clinical Training Program)

8. At all times after the effective date of Respondent's probation, Condition 3 stated:

"Within 60 calendar days of the effective date of this Decision, Respondent shall
enroll in a clinical training or educational program equivalent to the Physician Assessment
and Clinical Education Program (PACE) offered at the University of California - San
Diego School of Medicine (Program). Respondent shall successfully complete the
Program not later than six (6) months after Respondent's initial enrollment unless the
Board or its designee agrees in writing to an extension of that time.

"The Program shall consist of a Comprehensive Assessment program comprised of a two-day assessment of Respondent's physical and mental health; basic clinical and communication skills common to all clinicians; and medical knowledge, skill and judgment pertaining to Respondent's area of practice in which Respondent was alleged to

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be deficient, and at minimum, a 40 hour program of clinical education in the area of practice in which Respondent was alleged to be deficient and which takes into account data obtained from the assessment, Decision(s), Accusation(s), and any other information that the Board or its designee deems relevant. Respondent shall pay all expenses associated with the clinical training program.

"Based on Respondent's performance and test results in the assessment and clinical education, the Program will advise the Board or its designee of its recommendation(s) for the scope and length of any additional educational or clinical training, treatment for any medical condition, treatment for any psychological condition, or anything else affecting Respondent's practice of medicine. Respondent shall comply with Program recommendations.

"At the completion of any additional educational or clinical training, Respondent shall submit to and pass an examination. Determination as to whether Respondent successfully completed the examination or successfully completed the program is solely within the program's jurisdiction.

"If Respondent fails to enroll, participate in, or successfully complete the clinical training program within the designated time period, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. The Respondent shall not resume the practice of medicine until enrollment or participation in the outstanding portions of the clinical training program have been completed. If the Respondent did not successfully complete the clinical training program, the Respondent shall not resume the practice of medicine until a final decision has been rendered on the accusation and/or a petition to revoke probation. The cessation of practice shall not apply to the reduction of the probationary time period."

9. Respondent's probation is subject to revocation because he failed to comply with Probation Condition 3, referenced above. The facts and circumstances regarding this violation are as follows: By on or about March 2, 2017, Respondent was to enroll in a clinical training or

educational program equivalent to the Physician Assessment and Clinical Education Program (PACE) offered at the University of California - San Diego School of Medicine (Program). As of April 5, 2017, Respondent has not enrolled in the Program.

#### THIRD CAUSE TO REVOKE PROBATION

(Monitoring Practice)

10. At all times after the effective date of Respondent's probation, Condition 4 stated:

"Within 30 calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for prior approval as a practice, monitor, the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American Board of Medical Specialties (ABMS) certified. A monitor shall have no prior or current business or personal relationship with Respondent, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Board, including but not limited to any form of bartering, shall be in Respondent's field of practice, and must agree to serve as Respondent's monitor. Respondent shall pay all monitoring costs.

"The Board or its designee shall provide the approved monitor with copies of the Decision(s) and Accusation(s), and a proposed monitoring plan. Within 15 calendar days of receipt of the Decision(s), Accusation(s), and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision(s) and Accusation(s), fully understands the role of a monitor, and agrees or disagrees with the proposed monitoring plan. If the monitor disagrees with the proposed monitoring plan, the monitor shall submit a revised monitoring plan with the signed statement for approval by the Board or its designee.

"Within 60 calendar days of the effective date of this Decision, and continuing throughout probation, Respondent's practice shall be monitored by the approved monitor. Respondent shall make all records available for immediate inspection and copying on the premises by the monitor at all times during business hours and shall retain the records for

the entire term of probation.

"If Respondent fails to obtain approval of a monitor within 60 calendar days of the effective date of this Decision, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall cease the practice of medicine until a monitor is approved to provide monitoring responsibility.

"The monitor(s) shall submit a quarterly written report to the Board or its designee which includes an evaluation of Respondent's performance, indicating whether Respondent's practices are within the standards of practice of medicine and whether Respondent is practicing medicine safely, billing appropriately or both. It shall be the sole responsibility of Respondent to ensure that the monitor submits the quarterly written reports to the Board or its designee within 10 calendar days after the end of the preceding quarter.

"If the monitor resigns or is no longer available, Respondent shall, within 5 calendar days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within 15 calendar days. If Respondent fails to obtain approval of a replacement monitor within 60 calendar days of the resignation or unavailability of the monitor, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified Respondent shall cease the practice of medicine until a replacement monitor is approved and assumes monitoring responsibility.

"In lieu of a monitor, Respondent may participate in a professional enhancement program equivalent to the one offered by the Physician Assessment and Clinical Education Program at the University of California, San Diego School of Medicine, that includes, at minimum, quarterly chart review, semi-annual practice assessment, and semi-annual review of professional growth and education. Respondent shall participate in the professional enhancement program at Respondent's expense during the term of

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probation."

11. Respondent's probation is subject to revocation because he failed to comply with Probation Condition 4, referenced above. The facts and circumstances regarding this violation are as follows: By on or about January 30, 2017, Respondent was to submit to the Board or its designee for prior approval as a practice monitor, the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American Board of Medical Specialties (ABMS) certified. As of April 5, 2017, Respondent has failed to nominate a practice monitor.

#### FOURTH CAUSE TO REVOKE PROBATION

(Notification)

12. At all times after the effective date of Réspondent's probation, Condition 5 stated: "Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days.

"This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier."

13. Respondent's probation is subject to revocation because he failed to comply with Probation Condition 5, referenced above. The facts and circumstances regarding this violation are as follows: By on or about January 6, 2017, Respondent was to provide a true copy of the December 2, 2016, Decision and the underlying Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership were extended to Respondent, at any other facility where Respondent engaged in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief

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1	Executive Officer at every insurance carrier which extended malpractice insurance coverage to
2	Respondent. Respondent was to submit proof of compliance to the Board or its designee within
3	15 calendar days, or January 21, 2017. As of April 5, 2017, Respondent has failed to provide
4	proof of compliance with this condition.
5	FIFTH CAUSE TO REVOKE PROBATION
6	(Quarterly Declarations)
7	14. At all times after the effective date of Respondent's probation, Condition 8 stated:
8.	"Respondent shall submit quarterly declarations under penalty of perjury on forms
9	provided by the Board, stating whether there has been compliance with all the conditions
10	of probation.
11	"Respondent shall submit quarterly declarations not later than 10 calendar days after
12	the end of the preceding quarter."
13	15. Respondent's probation is subject to revocation because he failed to comply with
14	Probation Condition 8, referenced above. The facts and circumstances regarding this violation
15	are as follows: By on or about January 10, 2017, Respondent failed to submit his Quarterly
16	Declaration covering the last quarter of 2016.
17	SIXTH CAUSE TO REVOKE PROBATION
18	(General Probation Requirements)
19	16. At all times after the effective date of Respondent's probation, Condition 9 stated:
20	"Respondent shall comply with the Board's probation unit and all terms and
21	conditions of this Decision."
22 .	17. Respondent's probation is subject to revocation because he failed to comply with
23	Probation Condition 9, referenced above. The facts and circumstances regarding this violation
24	are as follows: The First through Fifth Causes to Revoke Probation are incorporated herein as if
25	fully set forth. Respondent failed to comply with the above referenced terms of probation.
26	<i>///</i>
27	<i>III</i>
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#### SEVENTH CAUSE TO REVOKE PROBATION

(Interview With the Board or Its Designee)

18. At all times after the effective date of Respondent's probation, Condition 10 stated:

"Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation."

19. Respondent's probation is subject to revocation because he failed to comply with Probation Condition 10, referenced above. The facts and circumstances regarding this violation are as follows: As of April 5, 2017, Respondent has failed to interview with the Board.

#### **DISCIPLINE CONSIDERATIONS**

20. To determine the degree of discipline, if any, to be imposed on Respondent, Complainant alleges that on or about December 30, 2016, in a prior disciplinary action entitled "In the Matter of the Accusation Against Sami Srour" before the Medical Board of California, in Case No. 08-2013-231201, Respondent's license was revoked with revocation stayed for four years on terms and conditions for violations of gross negligence, repeated negligent acts, failure to maintain adequate and accurate records, and unprofessional conduct. That decision is now final and is incorporated by reference as if fully set forth.

#### PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking the probation that was granted by the Medical Board of California in Case No. 08-2013-231201 and imposing the disciplinary order that was stayed thereby revoking Physician's and Surgeon's Certificate No. G 24567 issued to Sami Chafic Srour, M.D.;
- 2. Revoking or suspending Physician's and Surgeon's Certificate No. G 24567, issued to Sami Chafic Srour, M.D.;

# Exhibit A Prior Decision

# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:	) ) )
Sami Srour, M.D.	) Case No. 08-2013-231201
Physician's and Surgeon's Certificate No. G24567	) ) )
Respondent	) ) )

#### **DECISION**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on December 30, 2016.

IT IS SO ORDERED: December 2, 2016.

MEDICAL BOARD OF CALIFORNIA

Michelle Anne Bholat, M.D., Chair

Panel B

- 1					
1	Kamala D. Harris				
2	Attorney General of California ROBERT MCKIM BELL				
3	Supervising Deputy Attorney General CHRIS LEONG				
4	Deputy Attorney General State Bar No. 141079				
5	California Department of Justice 300 So. Spring Street, Suite 1702				
6	Los Angeles, CA 90013				
	Telephone: (213) 897-2575 Facsimile: (213) 897-9395				
7	Attorneys for Complainant				
8		RE THE O OF CALIFORNIA			
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA				
10					
11	In the Matter of the Accusation Against:	Case No. 08-2013-231201			
12	SAMI SROUR, M.D.	STIPULATED SETTLEMENT AND			
13	4124 Country Club Drive Bakersfield, CA 92306	DISCIPLINARY ORDER			
14	Physician's and Surgeon's Certificate				
15	No. G 24567				
16	Respondent.	·			
17		•			
18	In the interest of a prompt and speedy settl	ement of this matter, consistent with the public			
19	interest and the responsibility of the Medical Boa	ard of California (Board), the parties hereby			
20	agree to the following Stipulated Settlement and Disciplinary Order which will be submitted to				
21	the Board for approval and adoption as the final disposition of the Accusation.				
22	<u>PARTIES</u>				
23	Kimberly Kirchmeyer (Complainant	) is the Executive Director of the Board. She			
24	brought this action solely in her official capacity and is represented in this matter by Kamala D.				
25	Harris, Attorney General of the State of California, by Chris Leong, Deputy Attorney General.				
26	2. Respondent SAMI SROUR, M.D. (Respondent) is represented in this proceeding by				
27	attorney Dennis R. Thelen, Esq., whose address is: P.O. Box 12092, Bakersfield, CA 93389-				
28	2092.	·			

3. On or about June 14, 1973, the Board issued Physician's and Surgeon's Certificate No. G 24567 to Respondent. The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 08-2013-231201, and will expire on July 31, 2017, unless renewed.

#### JURISDICTION

- 4. Accusation No. 08-2013-231201 was filed before the Board and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on October 1, 2015. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 08-2013-231201 is attached as Exhibit A and is incorporated herein by reference.

#### **ADVISEMENT AND WAIVERS**

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 08-2013-231201. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf, the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

#### **CULPABILITY**

9. Respondent understands and agrees that the charges and allegations in Accusation No. 08-2013-231201, if proven at a hearing, constitute cause for imposing discipline upon his Physician's and Surgeon's Certificate.

- 10. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondent agrees that, at a hearing, Complainant could establish a factual basis for the charges in the Accusation, and that Respondent hereby gives up his right to contest those charges.
- 11. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.
- 12. Respondent agrees that if he ever petitions for early termination of probation or modification of probation, or if the Board ever petitions for revocation of probation, all of the charges and allegations contained in Accusation No. 08-2013-231201, shall be deemed true, correct and fully admitted by Respondent for purposes of that proceeding or any other licensing proceeding involving Respondent in the State of California.

#### **CONTINGENCY**

- 13. This stipulation shall be subject to approval by the Board. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 14. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

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#### **DISCIPLINARY ORDER**

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. G 24567 issued to Respondent SAMI SROUR, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for four (4) years on the following terms and conditions.

- 1. EDUCATION COURSE. Within 60 calendar days of the effective date of this Decision, and on an annual basis thereafter, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 40 hours per year, for each year of probation. The educational program(s) or course(s) shall be aimed at correcting any areas of deficient practice or knowledge and shall be Category I certified. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for 65 hours of CME of which 40 hours were in satisfaction of this condition.
- 2. MEDICAL RECORD KEEPING COURSE. Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping equivalent to the Medical Record Keeping Course offered by the Physician Assessment and Clinical Education Program, University of California, San Diego School of Medicine (Program), approved in advance by the Board or its designee. Respondent shall provide the program with any information and documents that the Program may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course

successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later.

been taken after the effective date of this Decision. Respondent shall submit a certification of

3. <u>CLINICAL TRAINING PROGRAM</u>. Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a clinical training or educational program equivalent to the Physician Assessment and Clinical Education Program (PACE) offered at the University of California - San Diego School of Medicine (Program). Respondent shall successfully complete the Program not later than six (6) months after Respondent's initial enrollment unless the Board or its designee agrees in writing to an extension of that time.

The Program shall consist of a Comprehensive Assessment program comprised of a two-day assessment of Respondent's physical and mental health; basic clinical and communication skills common to all clinicians; and medical knowledge, skill and judgment pertaining to Respondent's area of practice in which Respondent was alleged to be deficient, and at minimum, a 40 hour program of clinical education in the area of practice in which Respondent was alleged to be deficient and which takes into account data obtained from the assessment, Decision(s), Accusation(s), and any other information that the Board or its designee deems relevant. Respondent shall pay all expenses associated with the clinical training program.

Based on Respondent's performance and test results in the assessment and clinical education, the Program will advise the Board or its designee of its recommendation(s) for the scope and length of any additional educational or clinical training, treatment for any medical condition, treatment for any psychological condition, or anything else affecting Respondent's practice of medicine. Respondent shall comply with Program recommendations.

At the completion of any additional educational or clinical training, Respondent shall submit to and pass an examination. Determination as to whether Respondent successfully completed the examination or successfully completed the program is solely within the program's jurisdiction.

If Respondent fails to enroll, participate in, or successfully complete the clinical training

program within the designated time period, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. The Respondent shall not resume the practice of medicine until enrollment or participation in the outstanding portions of the clinical training program have been completed. If the Respondent did not successfully complete the clinical training program, the Respondent shall not resume the practice of medicine until a final decision has been rendered on the accusation and/or a petition to revoke probation. The cessation of practice shall not apply to the reduction of the probationary time period.]

4. MONITORING - PRACTICE. Within 30 calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for prior approval as a practice, monitor, the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American Board of Medical Specialties (ABMS) certified. A monitor shall have no prior or current business or personal relationship with Respondent, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Board, including but not limited to any form of bartering, shall be in Respondent's field of practice, and must agree to serve as Respondent's monitor. Respondent shall pay all monitoring costs.

The Board or its designee shall provide the approved monitor with copies of the Decision(s) and Accusation(s), and a proposed monitoring plan. Within 15 calendar days of receipt of the Decision(s), Accusation(s), and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision(s) and Accusation(s), fully understands the role of a monitor, and agrees or disagrees with the proposed monitoring plan. If the monitor disagrees with the proposed monitoring plan with the signed statement for approval by the Board or its designee.

Within 60 calendar days of the effective date of this Decision, and continuing throughout probation, Respondent's practice shall be monitored by the approved monitor. Respondent shall make all records available for immediate inspection and copying on the premises by the monitor at all times during business hours and shall retain the records for the entire term of probation.

 If Respondent fails to obtain approval of a monitor within 60 calendar days of the effective date of this Decision, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall cease the practice of medicine until a monitor is approved to provide monitoring responsibility.

The monitor(s) shall submit a quarterly written report to the Board or its designee which includes an evaluation of Respondent's performance, indicating whether Respondent's practices are within the standards of practice of medicine and whether Respondent is practicing medicine safely, billing appropriately or both. It shall be the sole responsibility of Respondent to ensure that the monitor submits the quarterly written reports to the Board or its designee within 10 calendar days after the end of the preceding quarter.

If the monitor resigns or is no longer available, Respondent shall, within 5 calendar days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within 15 calendar days. If Respondent fails to obtain approval of a replacement monitor within 60 calendar days of the resignation or unavailability of the monitor, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified Respondent shall cease the practice of medicine until a replacement monitor is approved and assumes monitoring responsibility.

In lieu of a monitor, Respondent may participate in a professional enhancement program equivalent to the one offered by the Physician Assessment and Clinical Education Program at the University of California, San Diego School of Medicine, that includes, at minimum, quarterly chart review, semi-annual practice assessment, and semi-annual review of professional growth and education. Respondent shall participate in the professional enhancement program at Respondent's expense during the term of probation.

5. <u>NOTIFICATION</u>. Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to

Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- 6. <u>SUPERVISION OF PHYSICIAN ASSISTANTS</u>. During probation, Respondent is prohibited from supervising physician assistants.
- 7. <u>OBEY ALL LAWS</u>. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.
- 8. QUARTERLY DECLARATIONS. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

GENERAL PROBATION REQUIREMENTS.

Compliance with Probation Unit

Respondent shall comply with the Board's probation unit and all terms and conditions of this Decision.

#### Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

#### Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place

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27 28 of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

#### License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

#### Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

- 10. INTERVIEW WITH THE BOARD OR ITS DESIGNEE. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine in California as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar months, Respondent shall successfully complete a clinical training program that meets the criteria

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of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years. Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice will relieve Respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws; and General Probation Requirements.

- 12. <u>COMPLETION OF PROBATION</u>. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- 13. <u>VIOLATION OF PROBATION</u>. Failure to fully comply with any term or condition of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- 14. <u>LICENSE SURRENDER</u>. Following the effective date of this Decision, if
  Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy
  the terms and conditions of probation, Respondent may request to surrender his or her license.
  The Board reserves the right to evaluate Respondent's request and to exercise its discretion in
  determining whether or not to grant the request, or to take any other action deemed appropriate
  and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent
  shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its
  designee and Respondent shall no longer practice medicine. Respondent will no longer be subject
  to the terms and conditions of probation. If Respondent re-applies for a medical license, the
  application shall be treated as a petition for reinstatement of a revoked certificate.

1	15. PROBATION MONITORING COSTS, Respondent shall pay the costs associated				
2	with probation monitoring each and every year of probation, as designated by the Board, which				
3	may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of				
4	California and delivered to the Board or its designee no later than January 31 of each calendar				
5	year.				
6	ACCEPTANCE				
7	I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully				
8	discussed it with my attorney, Dennis R. Thelen, Esq., I understand the stipulation and the effect				
9	it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement				
1.0	and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the				
11	Decision and Order of the Medical Board of California.				
12					
13	DATED: 10/10/16 SAMISROUR MD				
14	SAMI SROUR, M.D.  Respondent				
15	I have read and fully discussed with Respondent SAMI SROUR, M.D. the terms and				
16	conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.				
17	I approve its form and content.				
18	DATED: 10-10-16 - Len R. Mil				
19	DENNIS R. THELEN, ESQ. Attorney for Respondent				
20					
21	ENDORSEMENT				
22	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully				
23	submitted for consideration by the Medical Board of California.				
24	///				
25	<i>///</i>				
26	<i>III</i>				
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#### Exhibit A

Accusation No. 08-2013-231201

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•		FILED			
1	KAMALA D. HARRIS	STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA SACRAMENTO DE TRANSPORTORIA			
2	Attorney General of California E. A. JONES III				
3	Supervising Deputy Attorney General CHRIS LEONG	BY R. FIRDAMS ANALYST			
4	Deputy Attorney General State Bar No. 141079				
5	California Department of Justice				
6	300 So. Spring Street, Suite 1702 Los Angeles, CA 90013				
	Telephone: (213) 897-2575 Facsimile: (213) 897-9395				
7	Attorneys for Complainant				
8		RE THE D OF CALIFORNIA			
9	DEPARTMENT OF (	CONSUMER AFFAIRS			
. 10	STATE OF	CALIFORNIA			
11	In the Matter of the Accusation Against:	Case No. 08-2013-231201			
12	SAMI CHAFIC SROUR, M.D.				
13	4121 Country Club Drive Bakersfield, California 93306	ACCUSATION			
14	Physician's and Surgeon's Certificate No.				
15	G 24567,				
16	Respondent.				
17	Complainant alleges:				
18	PAF	RTIES			
19	1. Kimberly Kirchmeyer (0	Complainant) brings this Accusation solely in her			
20	official capacity as the Executive Director of the	Medical Board of California (Board).			
21	2. On or about June 14, 1973, the Board issued Physician's and Surgeon's				
22	Certificate Number G 24567 to Sami Chafic Srour, M.D. (Respondent). The Physician's and				
23	Surgeon's certificate was in full force and effect at all times relevant to the charges herein and				
24	will expire on July 31, 2015, unless renewed.				
25	JURISE	DICTION			
26	3. This Accusation is broug	ght before the Board under the authority of the			
27	following laws. All section references are to the	•			
28	otherwise indicated.				
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- 4. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.
  - 5. Section 2234 of the Code, states:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
  - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
  - "(d) Incompetence.
- "(e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
  - "(f) Any action or conduct which would have warranted the denial of a certificate.
- "(g) The practice of medicine from this state into another state or country without meeting the legal requirements of that state or country for the practice of medicine. Section 2314 shall not apply to this subdivision. This subdivision shall become operative upon the implementation of

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 the proposed registration program described in Section 2052.5.

- "(h) The repeated failure by a certificate holder, in the absence of good cause, to attend and participate in an interview by the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board."
- 6. Unprofessional conduct under 2234 of the Code is conduct which breaches the rules or ethical code of the medical profession, or conduct which is unbecoming to a member in good standing of the medical profession, and which demonstrates an unfitness to practice medicine. (Shea v. Board of Medical Examiners (1978) 81 Cal.App.3d 564, 575.)
- 7. Section 2266 of the Code states: "The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct."

#### FIRST CAUSE FOR DISCIPLINE

(Gross Negligence)

- 8. Respondent is subject to disciplinary action under Code section 2234, subdivision (b), in that he was grossly negligent in the care and treatment of two patients. The circumstances are as follows:
- Patient V.E.
- 9. Patient V.E. is an approximately 71-year-old woman with a history of diabetes, hypertension, and chronic back pain, who had a prior history of left knee surgery, as well as a rotator cuff repair. She had developed some right knee pain in January of 2011 and was seen by Respondent in July of 2011 because of persistent right knee pain. Respondent saw her and noted that she had some degenerative changes in both knees and that she had knee pain on the right side that had been present without an injury. He noted that her clinical exam actually showed the same tenderness in both the knee where she had the symptoms and the one where she did not. A magnetic resonance imaging (MRI) scan suggested some possible tears in the posterior horns of both menisci. His initial choice was to do an arthroscopic procedure.

<sup>&</sup>lt;sup>1</sup> The names of the patients are reduced to initials for privacy.

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- 10. The surgery was scheduled and performed on December 8, 2011. Respondent performed total bilateral medial and lateral meniscectomies, even though the consent form only allowed him to operate on the bilateral medial meniscus. Respondent's documentation failed to justify the procedures and the consent form was inadequate. Postoperatively, the patient developed significant pain in the knee and was seen back at the surgery center for a dressing change and the following day because of persistent pain. There is documentation of multiple efforts to reach Respondent that were unsuccessful. The patient eventually contacted Respondent and he told her to go to the Bakersfield Hospital emergency room (ER).
- December 10, 2011, the ER physician noted a significant vascular problem in the right leg. The ER physician spoke to Respondent. Patient V.E. was then seen by a vascular surgeon who explored her popliteal area and discovered that she had a transection of the tibioperoneal trunk off the popliteal artery which also had an aneurysm. Respondent never followed up once he knew there was a complication to assist in the management with his knowledge of the initial surgery.
- 12. Respondent was grossly negligent by the following acts or omissions, separately and together:
- (1) Respondent performed total meniscectomies on patient V.E. for what appeared to be isolated meniscal tears.
- (2) Once he learned of the surgical complication, Respondent failed to see patient V.E. or discuss the case with a vascular surgeon. He failed to assist in the treatment of complication in any helpful way.

#### Patient J.F.

13. On or about June 7, 2010, patient J.F., who was 67 years-of-age, presented to Respondent for a consultation regarding his knees. Respondent noted that the patient had bilateral knee pain for years, right worse than left. The examination of both knees was described but did not include any reference to a mass. The diagnosis was "severe degenerative joint disease in the medial compartment of the left knee. Probable tear of the medial meniscus of the right

knee." An MRI of the right knee was performed on June 22, 2010, showing a "complex tear in the posterior horn of the medial meniscus." There were also degenerative changes noted in the patellofemoral joint, grade III lateral patellar facet and grade II medial and lateral compartments with an intra-articular loose body.

- 14. On or about November 10, 2010, patient J.F. presented to Respondent who noted that the patient was in for a recheck but both knees remained painful. The physical examination was as follows: "There is tenderness in the anterior knees and medial and lateral joint lines. He walks with an antalgic gait. He has difficulty climbing a step. There is a 3-inch mass around the head of the left fibula." His diagnoses were "1. Status post arthroscopy with early to moderate degenerative joint disease in the right knee. 2. Severe degenerative joint disease in the left knee with huge mass laterally." He ordered an MRI of the left knee to evaluate the mass and recommended a left total knee replacement. There was no further discussion as to the diagnosis of a mass pending the MRI scan. The tumor had been present behind patient's leg for about five years. It was the patient's understanding that it was a "fatty tumor" and that "it wouldn't be a problem removing it."
- 15. On or about November 29, 2010, preoperatively, the MRI identified a soft tissue mass behind the head of the fibula and the report clearly diagnosed this mass as most likely a neurofibroma, and not a lipoma. The study did show the degenerative changes of the joint as expected. The important passage regarding the mass is as follows: "There is a soft tissue mass behind the head of the fibula measuring 4.9 x 2.3 cm in size. This could represent a neurofibroma[<sup>2</sup>] or less likely, a complicated popliteal cyst. In this patient, additional image with intravenous contrast media may be helpful for a better evaluation." The impression included the following: "3. There is a mass behind the head of the fibula. This could represent a neurofibroma or less likely, a complicated popliteal cyst. Recommended additional images with intravenous contrast medium for better evaluation."
  - 16. On or about December 22, 2010, Respondent noted that the patient had

<sup>&</sup>lt;sup>2</sup> A tumor formed on a nerve cell sheath.

 neurologic complaints in the peroneal nerve distribution: "Complains of numbness dorsum foot and lateral two toes. Mass has been getting larger the last five years. Exam 5 cm mass, soft, overlying head of fibula. Positive Tinel's, normal ankle dorsiflexion, but able to heel walk." These clinical findings suggested that the mass was either in the nerve or directly affecting the nerve, as indicated by the MRI scan, but Respondent's differential diagnosis did not include a primary nerve lesion nor was it referenced in the treatment plan or the informed consent.

- 17. The December 22, 2010, informed consent is a standard typed report with the only custom portions being that the diagnosis other than degenerative joint disease was "mass lateral posterior knee with peroneal nerve entrapment" and the procedure included "excision mass, left lateral knee."
- performed a left total knee replacement and excision of mass. The pathology identified the mass to be consistent with a schwannoma. No malignancy was identified. The tumor appeared to be completely excised and there were no nerve elements in the specimen. The operative report on December 28, 2010, documented a standard total knee replacement procedure. The portion addressing the mass excision stated: "The mass was dissected free and no branches of the peroneal nerve were encountered, as they were retracted posteriorly. The mass was hard. It was sitting on the muscle belly of the anterolateral compartment. It was removable with no obvious area of infiltration, and it was completely encapsulated." The patient recalled Respondent after the surgery "...coming in and telling me that the knee replacement went well and he removed the tumor, and it was much larger than he expected; but he did not sever the nerve."
- 19. The following day, the patient had a foot drop which was a known complication of the procedure. Respondent did appropriately recommend treatment with a drop foot brace. This note suggests that he is still of the opinion that the peroneal nerve was intact, and the foot drop was merely a postoperative neuropraxia. The patient underwent nerve conduction studies and x-rays of the peroneal nerve.

<sup>&</sup>lt;sup>3</sup> A schwannoma is a benign nerve sheath tumor, a neuroma, composed of Schwann cells.

20. By July 20, 2012, the patient's symptoms were unchanged. The mass was determined to be a schwannoma involving the peroneal nerve. The schwannoma had been removed by Respondent, and subsequently when the patient was undergoing neurosurgical exploration, the peroneal nerve was found to have been severed.

- 21. On or about January 10, 2011, the first follow-up note after the surgical procedure is made. This is one week, six days postop and the patient had very little pain. The examination showed the incision was benign and there was "no active ankle dorsiflexion." The impression was "status post left total knee replacement." Respondent advised physical therapy and to continue with a drop foot brace.
- 22. On or about January 24, 2011, the diagnosis remained "status post left total knee replacement." There was still no diagnosis of any type of a neurologic problem, but Respondent did recommend an electromyography (EMG) of the peroneal nerve. The patient underwent two electrodiagnostic tests, both of which suggested that the peroneal nerve was not functioning but the surgeon did not seem to consider a disruption of the nerve requiring exploration.
- 23. On or about February 8, 2011, electrodiagnostics by another physician showed no peroneal nerve function. This was one of two consecutive studies that showed that there was no peroneal function, either motor or sensory.
- 24. On or about March 7, 2011, it was noted the patient still had decreased sensation in the first web space, no muscle dorsiflexion, and again, no diagnosis was made other than knee replacement and the recommendation was for repeat nerve conduction testing in four weeks.
- 25. On or about March 22, 2011, a second electrodiagnostic study showed no sensory or motor function, with no change.
- 26. On or about April 4, 2011, Respondent requested physiatry and neurosurgery consults.
- 27. On or about June 8, 2011, the neurosurgical consultation noted a complete foot drop with no improvement for over six months. His plan was "peroneal nerve explored,

of the nerve tumor that was in the preoperative MRI scan.

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1	4. Taking such other and further action as deemed necessary and proper.						
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